

AN INVERSE PROBLEM FOR A GENERALLY DEGENERATE HEAT EQUATION

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We consider an inverse problem for determining a time-dependent coefficient for the heat equation. The coefficient at the higher-order derivative is a product of two functions which depend on time and one of them vanishes at the initial moment. It were considered two cases: weak and strong degeneration. Conditions of existence and uniqueness of solution for the problem are established.

Ключові слова: inverse problem, heat equation, strong and weak degeneration, Schauder fixed-point theorem.

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Introduction

Degenerate parabolic problems arise in a lot fields of natural and social sciences. There are some works dedicated to the inverse problems for partial differential equations degenerating with respect to a spatial variable [1]-[3]. The case of the inverse problem for a weakly degenerate parabolic equation with unknown coefficient which tends to zero as a power function t^β , $0 < \beta < 1$ at the higher-order derivative was investigated in the articles [4]-[6] and when $\beta > 1$ in [7].

In the bounded domain $Q_T \equiv \{(x, t) : 0 < x < h, 0 < t < T\}$ we consider the heat equation

$$u_t = a(t)\psi(t)u_{xx} + f(x, t), \quad (x, t) \in Q_T, \quad (1)$$

with unknown coefficient $a(t) > 0, t \in [0, T]$, initial condition

$$u(x, 0) = \varphi(x), \quad x \in [0, h], \quad (2)$$

boundary conditions

$$u(0, t) = \mu_1(t), \quad u(h, t) = \mu_2(t), \quad t \in [0, T], \quad (3)$$

and overdetermination condition

$$a(t)\psi(t)u_x(0, t) = \mu_3(t), \quad t \in [0, T]. \quad (4)$$

Suppose that $\psi(t)$ – given monotone increasing function, $\psi(t) > 0, t \in (0, T]$ and $\psi(0) = 0$. It means that the equation (1) is degenerate. Assuming temporarily that function $a(t)$ is known, we represent the solution of direct problem (1)-(3) with the aid of Green function in

the form

$$\begin{aligned} u(x, t) = & \int_0^h G_1(x, t, \xi, 0)\varphi(\xi)d\xi + \int_0^t G_{1\xi}(x, t, 0, \tau) \times \\ & \times a(\tau)\psi(\tau)\mu_1(\tau)d\tau - \int_0^t G_{1\xi}(x, t, h, \tau)a(\tau)\psi(\tau) \times \\ & \times \mu_2(\tau)d\tau + \int_0^t \int_0^h G_1(x, t, \xi, \tau)f(\xi, \tau)d\xi d\tau, \quad (5) \end{aligned}$$

where $G_1(x, t, \xi, \tau)$ is the Green function. It is known that the Green functions for the first ($k = 1$) and the second ($k = 2$) boundary problems for the equation (1) are defined as follows:

$$\begin{aligned} G_k(x, t, \xi, \tau) = & \frac{1}{2\sqrt{\pi(\theta(t) - \theta(\tau))}} \times \\ & \times \sum_{n=-\infty}^{\infty} \left(\exp\left(-\frac{(x - \xi + 2nh)^2}{4(\theta(t) - \theta(\tau))}\right) + \right. \\ & \left. + (-1)^k \exp\left(-\frac{(x + \xi + 2nh)^2}{4(\theta(t) - \theta(\tau))}\right) \right), \quad k = 1, 2, \\ & \theta(t) = \int_0^t a(\tau)\psi(\tau)d\tau. \quad (6) \end{aligned}$$

It is easy to see that the following properties of the Green functions are correct:

$$\begin{aligned} G_{1\xi}(x, t, \xi, \tau) = & -G_{2x}(x, t, \xi, \tau), \\ G_{2\tau}(x, t, \xi, \tau) = & -a(\tau)G_{2\xi\xi}(x, t, \xi, \tau). \quad (7) \end{aligned}$$

Suppose that given data satisfies the following conditions:

(A1) $\varphi \in C^1[0, h]; \mu_i \in C^1[0, T], i = 1, 2; \mu_3 \in C[0, T]; \psi \in C[0, T]; f \in C^{1,0}(\overline{Q_T});$

(A2) $\varphi'(x) > 0, x \in [0, h]; f(0, t) - \mu'_1(t) > 0, \mu'_2(t) - f(h, t) \geq 0, t \in [0, T], \mu_3(t) > 0, t \in (0, T]; f_x(x, t) \geq 0, (x, t) \in Q_T; \psi(t) > 0 - \text{monotone increasing function on } (0, T], \psi(0) = 0;$

(A3) *the compatibility conditions of the zero order are verified: $\varphi(0) = \mu_1(0), \varphi(h) = \mu_2(0).$*

Integrating by parts and applying the compatibility conditions and (7), find from (5) the derivative $u_x(x, t)$:

$$u_x(x, t) = \int_0^h G_2(x, t, \xi, 0) \varphi'(\xi) d\xi + \int_0^t G_2(x, t, 0, \tau) \times (f(0, \tau) - \mu'_1(\tau)) d\tau + \int_0^t G_2(x, t, h, \tau) (\mu'_2(\tau) - f(h, \tau)) d\tau + \int_0^t \int_0^h G_2(x, t, \xi, \tau) f_\xi(\xi, \tau) d\xi d\tau. \quad (8)$$

Put $x = 0$ in the formula (8) and substitute the result into (4). In such way we obtain the equation with respect to unknown function $a(t)$:

$$a(t) = \frac{\mu_3(t)}{\psi(t)} \left(\int_0^h G_2(0, t, \xi, 0) \varphi'(\xi) d\xi + \int_0^t G_2(0, t, 0, \tau) (f(0, \tau) - \mu'_1(\tau)) d\tau + \int_0^t G_2(0, t, h, \tau) (\mu'_2(\tau) - f(h, \tau)) d\tau + \int_0^t \int_0^h G_2(0, t, \xi, \tau) f_\xi(\xi, \tau) d\xi d\tau \right)^{-1}, \quad t \in [0, T]. \quad (9)$$

Let study the behavior of the denominator. From the equality

$$\int_0^h G_2(x, t, \xi, \tau) d\xi = 1$$

we obtain the following estimates for the first and forth summands:

$$0 < M_0 = \min_{x \in [0, h]} \varphi'(x) \leq \int_0^h G_2(0, t, \xi, 0) \varphi'(\xi) d\xi \leq \max_{x \in [0, h]} \varphi'(x) = M_1, \quad (10)$$

$$0 \leq t \min_{(x, t) \in \overline{Q_T}} f_x(x, t) \leq \int_0^t \int_0^h G_2(0, t, \xi, \tau) \times f_\xi(\xi, \tau) d\xi d\tau \leq t \max_{(x, t) \in \overline{Q_T}} f_x(x, t). \quad (11)$$

To estimate the others summands, denote

$$a_{\max}(t) \equiv \max_{0 \leq \tau \leq t} a(\tau), \quad a_{\min}(t) \equiv \min_{0 \leq \tau \leq t} a(\tau). \quad (12)$$

Extracting from the series the addend which corresponds to $n = 0$, we have for the second summand from (9)

$$\begin{aligned} & \int_0^t G_2(0, t, 0, \tau) (f(0, \tau) - \mu'_1(\tau)) d\tau = \\ & = \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\pi(\theta(t) - \theta(\tau))}} d\tau + 2 \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\pi(\theta(t) - \theta(\tau))}} \times \\ & \times \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t) - \theta(\tau)}\right) d\tau \leq C_1 \left(\frac{1}{\sqrt{\pi a_{\min}(t)}} \times \right. \\ & \times \int_0^t \left(\int_\tau^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau + 2 \int_0^t \frac{1}{\sqrt{\pi(\theta(t) - \theta(\tau))}} \times \\ & \times \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t) - \theta(\tau)}\right) d\tau \left. \right). \quad (13) \end{aligned}$$

For the third summand we obtain

$$\begin{aligned} & \int_0^t G_2(0, t, h, \tau) (\mu'_2(\tau) - f(h, \tau)) d\tau \leq \\ & \leq C_2 \int_0^t \frac{1}{\sqrt{\theta(t) - \theta(\tau)}} \times \\ & \times \sum_{n=-\infty}^{\infty} \exp\left(-\frac{h^2(2n-1)^2}{4(\theta(t) - \theta(\tau))}\right) d\tau. \quad (14) \end{aligned}$$

Taking into account the known inequality [8, c. 13] $\frac{1}{\sqrt{z}} \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{z}\right) \leq K^*, \forall z \in [0, +\infty)$, we conclude that the last summand from (13) and expression in (14) are bounded. We will distinguish two cases of the degeneration.

Definition. The degeneration is called weak if for

$t \rightarrow 0$ the expression $\int_0^t \left(\int_\tau^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau$ tends to zero, and it is called strong if the named expression tends to infinity when t tends to zero.

I. Weak degeneration

Consider the case of the weak degeneration. As a solution of the problem (1) - (4) we define a pair of function $(a(t), u(x, t))$ from the space $C[0, T] \times C^{2,1}(Q_T) \cap C^{1,0}(\overline{Q_T}), a(t) > 0, t \in [0, T]$, which verify the equation (1) and conditions (2) - (4).

To prove the existence of solution of the problem (1) - (4), we apply the Schauder fixed-point theorem. For this we establish apriori estimates of solution of the equation (9). We start by the estimation of function $a(t)$ from

above. For this we need the estimate of $u_x(0, t)$ from below. Taking into account (11), (13), (14) we conclude that the second, third and fourth summands in the expression (9) are positive and tend to zero when $t \rightarrow 0$. At the same time, the inequality (10) holds for the first summand.

Hence, we have

$$u_x(0, t) \geq M_0, \quad t \in [0, T]. \tag{15}$$

Suppose that the following condition is satisfied:

(A4) *there exists the finite positive limit $\lim_{t \rightarrow +0} \frac{\mu_3(t)}{\psi(t)}$.*

Substituting (15) into (9) and taking into account the condition **(A4)**, we obtain

$$a(t) \leq \frac{\mu_3(t)}{\psi(t)M_0} \leq A_1 < \infty, \quad t \in [0, T]. \tag{16}$$

Estimate $u_x(0, t)$ from above. Using (10), (11), (13), (14) we have

$$u_x(0, t) \leq C_3 + C_4 \int_0^t \frac{d\tau}{\sqrt{\theta(t) - \theta(\tau)}}. \tag{17}$$

Setting (17) in the equation with respect to $a(t)$ and applying (12) and **(A4)**, we get

$$\begin{aligned} a(t) &\geq \frac{\mu_3(t)}{\psi(t) \left(C_3 + C_4 \int_0^t \frac{d\tau}{\sqrt{\theta(t) - \theta(\tau)}} \right)} \geq \\ &\geq \frac{C_5}{C_3 + C_4 \int_0^t \frac{d\tau}{\sqrt{\theta(t) - \theta(\tau)}}} \geq \\ &\geq \frac{C_5}{C_3 + \frac{C_4}{\sqrt{a_{\min}(t)}} \int_0^t \left(\int_0^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau}. \end{aligned}$$

Using the definition of weak degeneration, we obtain

$$a(t) \geq \frac{C_5}{C_3 + \frac{C_6}{\sqrt{a_{\min}(t)}}}$$

or

$$a_{\min}(t) \geq \left(\frac{2C_5}{\sqrt{C_6^2 + 4C_3C_5} + C_6} \right)^2 = A_0 > 0. \tag{18}$$

Write the equation (9) as operator equation $a(t) = Pa(t)$ with respect to $a(t)$ where operator P is defined by the right-hand side of the equation (9). Define the set $\mathcal{N} = \{a(t) \in C[0, T] : A_0 \leq a(t) \leq A_1\}$. According to obtained estimates (16), (18), the operator P maps the set \mathcal{N} into itself. The proof of the compactness of

operator P on \mathcal{N} is analogous to the case of weak power degeneration for the heat equation [4].

Thus, the following existence theorem is established.

Theorem 1. *Suppose that $\lim_{t \rightarrow +0} \int_0^t \left(\int_0^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau = 0$. If the conditions **(A1)** - **(A4)** are satisfied, then the solution of the problem (1) - (4) exists for $x \in [0, h], t \in [0, T]$.*

Let prove the uniqueness of solution of the problem (1) - (4). Suppose that there exist two solutions $(a_i(t), u_i(x, t)), i = 1, 2$. Denote the difference of the solutions by $a(t) \equiv a_1(t) - a_2(t), u(x, t) \equiv u_1(x, t) - u_2(x, t)$. For these functions we get the following problem:

$$u_t = a_1(t)\psi(t)u_{xx} + a(t)\psi(t)u_{2xx}, \quad (x, t) \in Q_T, \tag{19}$$

$$u(x, 0) = 0, \quad x \in [0, h], \tag{20}$$

$$u(0, t) = u(h, t) = 0, \quad t \in [0, T], \tag{21}$$

$$a_1(t)u_x(0, t) = -a(t)u_{2x}(0, t), \quad t \in [0, T]. \tag{22}$$

Introduce the Green functions $G_1^i(x, t, \xi, \tau)$ for the equations $u_t = a_i(t)\psi(t)u_{xx}, i = 1, 2$ with boundary conditions (21). Using $G_1^1(x, t, \xi, \tau)$ we put the solution of the problem (19) - (21) as follows:

$$u(x, t) = \int_0^t \int_0^h G_1^1(x, t, \xi, \tau) a(\tau) \psi(\tau) u_{2\xi\xi}(\xi, \tau) d\xi d\tau. \tag{23}$$

Calculating the derivative of (23) and substituting it into (22), we obtain the integral equation with respect to $a(t)$:

$$a(t) = \int_0^t K(t, \tau) a(\tau) d\tau, \tag{24}$$

where

$$\begin{aligned} K(t, \tau) &= -a_1(t)a_2(t) \frac{\psi(t)}{\mu_3(t)} \int_0^h G_{1x}^1(0, t, \xi, \tau) \times \\ &\quad \times \psi(\tau) u_{2\xi\xi}(\xi, \tau) d\xi. \end{aligned}$$

Let prove the integrability of the kernel $K(t, \tau)$. Put the solution $u_2(x, t)$ under the form (5) and calculate the second derivative:

$$\begin{aligned} u_{2xx}(x, t) &= \int_0^h G_{1\xi}^2(x, t, \xi, 0) \varphi''(\xi) d\xi + \int_0^t G_{1\xi}^2(x, t, 0, \tau) \times \\ &\quad \times (\mu_1'(\tau) - f(0, \tau)) d\tau + \int_0^t G_{1\xi}^2(x, t, h, \tau) (f(h, \tau) - \\ &\quad - \mu_2'(\tau)) d\tau - \int_0^t \int_0^h G_{1\xi}^2(x, t, \xi, \tau) f_\xi(\xi, \tau) d\xi d\tau. \end{aligned} \tag{25}$$

Evaluate every summand of this expression. For the first one we have

$$\left| \int_0^h G_1^2(x, t, \xi, 0) \varphi''(\xi) d\xi \right| \leq \max_{x \in [0, h]} |\varphi''(x)|.$$

To estimate the second summand from (25) we use the explicit form of the Green function from (6):

$$\begin{aligned} R &\equiv \left| \int_0^t G_{1\xi}^2(x, t, 0, \tau) (\mu_1'(\tau) - f(0, \tau)) d\tau \right| \leq C_7 \times \\ &\times \left(\int_0^t \frac{x}{(\theta_2(t) - \theta_2(\tau))^{3/2}} \exp\left(-\frac{x^2}{4(\theta_2(t) - \theta_2(\tau))}\right) d\tau + \right. \\ &+ \int_0^t \frac{1}{(\theta_2(t) - \theta_2(\tau))^{3/2}} \sum_{\substack{n=-\infty \\ n \neq 0}}^{\infty} |x + 2nh| \times \\ &\left. \times \exp\left(-\frac{(x + 2nh)^2}{4(\theta_2(t) - \theta_2(\tau))}\right) d\tau \right) \equiv R_1 + R_2. \end{aligned} \quad (26)$$

Denote $\int_0^t \psi(\sigma) d\sigma = \theta_0(t)$ and consider R_1 applying the change of variable $z = \frac{\theta_0(\tau)}{\theta_0(t)}$:

$$\begin{aligned} R_1 &\leq C_8 \int_0^t x \left(\int_{\tau}^t \psi(\sigma) d\sigma \right)^{-3/2} \times \\ &\times \exp\left(-\frac{x^2}{C_9 \int_{\tau}^t \psi(\sigma) d\sigma}\right) d\tau \leq \\ &\leq \frac{C_8}{\theta_0^{1/2}(t)} \int_0^1 \frac{x}{z^{3/2} \psi(\theta_0^{-1}((1-z)\theta_0(t)))} \times \\ &\times \exp\left(-\frac{x^2}{C_9 \theta_0(t) z}\right) dz. \end{aligned}$$

Realize the change of variable $\sigma = \frac{x}{\sqrt{C_9 \theta_0(t) z}}$:

$$R_1 \leq C_{10} \int_0^{\infty} \frac{e^{-\sigma^2} d\sigma}{\frac{x}{\sqrt{C_9 \theta_0(t)}} \psi\left(\theta_0^{-1}\left(\theta_0(t) - \frac{x^2}{C_9 \sigma^2}\right)\right)}.$$

In the obtained integral we decompose the interval of integration on the parts $\left[\frac{x}{\sqrt{C_9 \theta_0(t)}}, \frac{2x}{\sqrt{C_9 \theta_0(t)}}\right]$ and

$\left[\frac{2x}{\sqrt{C_9 \theta_0(t)}}, \infty\right)$. Evaluate the following summand:

$$\begin{aligned} R_{11} &\equiv C_{10} \int_0^{\infty} \frac{e^{-\sigma^2} d\sigma}{\frac{2x}{\sqrt{C_9 \theta_0(t)}} \psi\left(\theta_0^{-1}\left(\theta_0(t) - \frac{x^2}{C_9 \sigma^2}\right)\right)} \leq \\ &\leq \frac{C_{10}}{\psi(\theta_0^{-1}(\frac{3}{4}\theta_0(t)))} \int_0^{\infty} \frac{e^{-\sigma^2} d\sigma}{\frac{2x}{\sqrt{C_9 \theta_0(t)}}} \leq \\ &\leq \frac{C_{11}}{\psi(\theta_0^{-1}(\frac{3}{4}\theta_0(t)))}. \end{aligned} \quad (27)$$

For the second summand we use the change of variable $z = \theta_0(t) - \frac{x^2}{C_9 \sigma^2}$:

$$\begin{aligned} R_{12} &\equiv C_{10} \int_0^{\infty} \frac{e^{-\sigma^2} d\sigma}{\frac{x}{\sqrt{C_9 \theta_0(t)}} \psi\left(\theta_0^{-1}\left(\theta_0(t) - \frac{x^2}{C_9 \sigma^2}\right)\right)} \leq \\ &\leq C_{10} \exp\left(-\frac{x^2}{C_9 \theta_0(t)}\right) \times \\ &\times \int_0^{\frac{2x}{\sqrt{C_9 \theta_0(t)}}} \frac{d\sigma}{\frac{x}{\sqrt{C_9 \theta_0(t)}} \psi\left(\theta_0^{-1}\left(\theta_0(t) - \frac{x^2}{C_9 \sigma^2}\right)\right)} \leq \\ &\leq C_{12} x \exp\left(-\frac{x^2}{C_9 \theta_0(t)}\right) \times \\ &\times \int_0^{\frac{3}{4}\theta_0(t)} \frac{dz}{(\theta_0(t) - z)^{3/2} \psi(\theta_0^{-1}(z))}. \end{aligned}$$

Let $z = \theta_0(\sigma)$ and estimate the denominator:

$$\begin{aligned} R_{12} &\leq C_{13} x \exp\left(-\frac{x^2}{C_9 \theta_0(t)}\right) \times \\ &\times \int_0^{\theta_0^{-1}(3/4\theta_0(t))} \frac{\psi(\sigma) d\sigma}{(\theta_0(t) - \theta_0(\sigma))^{3/2} \psi(\theta_0^{-1}(\theta_0(\sigma)))} \leq \\ &\leq C_{13} x \exp\left(-\frac{x^2}{C_9 \theta_0(t)}\right) \times \\ &\times \int_0^{\theta_0^{-1}(3/4\theta_0(t))} \frac{d\sigma}{(\theta_0(t) - \frac{3}{4}\theta_0(t))^{3/2}} \leq \\ &\leq \frac{C_{14} \theta_0^{-1}(3/4\theta_0(t))}{\theta_0(t)} \leq C_{14} t \left(\int_0^t \psi(\sigma) d\sigma \right)^{-1}. \end{aligned}$$

We obtained this estimate with the aid of the inequality $x^p \exp(-qx^2) \leq M_{p,q} < \infty, x \in [0, \infty), p \geq 0, q > 0$.

Finally, we have for R the following estimation:

$$R \leq C_{14}t \left(\int_0^t \psi(\sigma)d\sigma \right)^{-1} + \frac{C_{11}}{\psi(\theta_0^{-1}(\frac{3}{4}\theta_0(t)))} + C_{15}. \quad (28)$$

The others summands in $u_{2xx}(x, t)$ are evaluated by the same way. Hence, we find

$$|u_{2xx}(x, t)| \leq C_{16}t \left(\int_0^t \psi(\sigma)d\sigma \right)^{-1} + \frac{C_{17}}{\psi(\theta_0^{-1}(\frac{3}{4}\theta_0(t)))} + C_{18}. \quad (29)$$

Substituting (29) into the kernel $K(t, \tau)$, we come to the inequality

$$|K(t, \tau)| \leq C_{19} \left(\int_\tau^t \psi(\sigma)d\sigma \right)^{-1/2}. \quad (30)$$

From this, it follows that the singularity of the kernel of the equation (24) is integrable. Hence, the Volterra integral equation of the second kind (24) has only trivial solution $a(t) \equiv 0$, and therefore $u(x, t) \equiv 0, (x, t) \in \bar{Q}_T$. Thus, the following uniqueness theorem is proved.

Theorem 2. *Suppose that the conditions (A4) and*

$$(A5) \quad \varphi \in C^2[0, h]; \mu_i \in C^1[0, T], i = 1, 2; \mu_3 \in C[0, T]; \psi \in C[0, T]; f \in C^{1,0}(\bar{Q}_T); \mu_3(t) > 0, \psi(t) > 0, t \in (0, T], \psi(0) = 0; \lim_{t \rightarrow +0} \int_0^t \left(\int_\tau^t \psi(\sigma)d\sigma \right)^{-1/2} d\tau = 0.$$

are fulfilled.

Then the solution of the problem (1)-(4) is unique.

II. Strong degeneration

Consider the strong degeneration case. As a solution of the problem (1) - (4) we define a pair of functions $(a(t), u(x, t))$ from the space $C[0, T] \times C^{2,1}(Q_T) \cap C(\bar{Q}_T), u_x(0, t) \in C(0, T], a(t) > 0, t \in [0, T]$, which verify the equation (1) and the conditions (2) - (4). Taking into account the definition, from (10), (11), (13), (14) we conclude that all summands of the derivative $u_x(0, t)$, except one, are bounded. Integral

$$\int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\pi(\theta(t) - \theta(\tau))}} d\tau \text{ tends to infinity when } t \rightarrow +0.$$

Then the estimate of $u_x(0, t)$ from below takes form

$$u_x(0, t) \geq \frac{1}{\sqrt{\pi}} \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\theta(t) - \theta(\tau)}} d\tau. \quad (31)$$

Substitute (31) into (9) and use (12), after what we obtain

$$a(t) \leq \frac{\sqrt{\pi a_{\max}(t)} \mu_3(t)}{\psi(t) \int_0^t (f(0, \tau) - \mu'_1(\tau)) \left(\int_\tau^t \psi(\sigma)d\sigma \right)^{-1/2} d\tau}. \quad (32)$$

Denote

$$H(t) \equiv \frac{\sqrt{\pi} \mu_3(t)}{\psi(t) \int_0^t (f(0, \tau) - \mu'_1(\tau)) \left(\int_\tau^t \psi(\sigma)d\sigma \right)^{-1/2} d\tau}. \quad (33)$$

From the conditions (A1), (A2), it follows that the function $H(t)$ is continuous and positive on the segment $(0, T]$. Assume that the following condition is fulfilled:

(A6) *there exists the finite positive limit*

$$\lim_{t \rightarrow +0} \frac{\mu_3(t)}{\sqrt{\psi(t)}t} = M.$$

Prove that the function $H(t)$ tends to a finite positive limit when $t \rightarrow +0$. Applying the mean value theorem and the condition (A6), we have

$$\begin{aligned} \lim_{t \rightarrow +0} H(t) &= \lim_{t \rightarrow +0} \frac{\sqrt{\pi \psi(t^*)} \mu_3(t)}{\psi(t)(f(0, t^*) - \mu'_1(t^*)) \int_0^t \frac{d\tau}{\sqrt{t - \tau}}} = \\ &= \frac{\sqrt{\pi} M}{2(f(0, 0) - \mu'_1(0))}, \end{aligned}$$

where t^* is some point from the segment $[0, T]$.

Using the definition of $H(t)$, from (32) we obtain the estimate

$$a_{\max}(t) \leq H_{\max}(t) \sqrt{a_{\max}(t)} \quad \text{or} \quad a_{\max}(t) \leq H_{\max}^2(t), \quad (34)$$

where $H_{\max}(t) \equiv \max_{0 \leq \tau \leq t} H(\tau)$. This means that we have the estimate of $a(t)$ from above

$$a(t) \leq A_1 < \infty, \quad t \in [0, T]. \quad (35)$$

To evaluate $u_x(0, t)$ from above we use (10), (11), (13), (14). Then

$$u_x(0, t) \leq C_{20} + \frac{1}{\sqrt{\pi}} \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\theta(t) - \theta(\tau)}} d\tau. \quad (36)$$

Substituting (36) into (9) and applying (12), we find

$$\begin{aligned} a(t) &\geq \frac{\mu_3(t)}{\psi(t)} \sqrt{\pi a_{\min}(t)} \left(C_{21} + \int_0^t (f(0, \tau) - \mu'_1(\tau)) \times \right. \\ &\quad \left. \times \left(\int_\tau^t \psi(\sigma)d\sigma \right)^{-1/2} d\tau \right)^{-1} \geq \frac{\sqrt{a_{\min}(t)}}{C_{21} \psi(t) + \frac{1}{H(t)}} \geq \\ &\geq \frac{\sqrt{a_{\min}(t)} H(t)}{C_{21} \psi(t) H(t) + 1} \sqrt{\pi \mu_3(t)}. \end{aligned} \quad (37)$$

Consider the fraction in the denominator from (37). Applying the mean value theorem we obtain $\int_0^t \left(\int_\tau^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau = 2\sqrt{\frac{t}{\psi(t^*)}}$, where $t^* \in [0, T]$. It follows from the strong degeneration definition that the expression $\sqrt{\frac{\psi(t)}{t}}$ tends to zero when $t \rightarrow +0$. Then from **(A6)** we have $\frac{C_{21}\psi(t)H(t)}{\sqrt{\pi}\mu_3(t)} \leq C_{22}\sqrt{\frac{\psi(t)}{t}}$. Applying this in (37), we obtain

$$a_{\min}(t) \geq \frac{\sqrt{a_{\min}(t)}H_{\min}(t)}{C_{22}\sqrt{\frac{\psi(t)}{t}} + 1} \quad \text{or}$$

$$a_{\min}(t) \geq \frac{H_{\min}^2(t)}{\left(C_{22}\sqrt{\frac{\psi(t)}{t}} + 1 \right)^2}, \quad t \in [0, T], \quad (38)$$

where $H_{\min}(t) \equiv \min_{0 \leq \tau \leq t} H(\tau)$. Consequently, we find the estimation of $a(t)$ from below

$$a(t) \geq A_0 > 0, \quad t \in [0, T]. \quad (39)$$

Hence, we have established the apriori estimates of solutions of the equation (9).

Put the equation with respect to $a(t)$ into the form

$$a(t) = \frac{\tilde{\mu}_3(t)}{\tilde{v}(0, t)} \quad \text{or} \quad a(t) = Pa(t), \quad t \in [0, T], \quad (40)$$

where $\tilde{\mu}_3(t) = \frac{\mu_3(t)}{\sqrt{t\psi(t)}}$, $\tilde{v}(0, t) = u_x(0, t)\sqrt{\frac{\psi(t)}{t}}$. Define the set $\mathcal{N} = \{a(t) \in C[0, T] : A_0 \leq a(t) \leq A_1\}$. According to obtained estimates (35), (39), the operator P maps the set \mathcal{N} into itself. Let show that P is compact on \mathcal{N} . Following the Ascoli-Arcella theorem, it is necessary to establish that for all $\varepsilon > 0$ there exists such $\delta > 0$, that

$$|P(t_2) - P(t_1)| < \varepsilon, \quad \forall a(t) \in \mathcal{N},$$

when $|t_2 - t_1| < \delta, \quad t_1, t_2 \in [0, T]$.

We will show how to verify this inequality, on the example of the following expression which enters to the integral operator P :

$$K \equiv \left| \sqrt{\frac{\psi(t_2)}{t_2}} \int_0^{t_2} (f(0, \tau) - \mu'_1(\tau))G_2(0, t_2, 0, \tau)d\tau - \sqrt{\frac{\psi(t_1)}{t_1}} \int_0^{t_1} (f(0, \tau) - \mu'_1(\tau))G_2(0, t_1, 0, \tau)d\tau \right|.$$

Suppose that $t_i, i = 1, 2$ are sufficiently small. Con-

sider the integral

$$\widehat{K} \equiv \sqrt{\frac{\psi(t)}{t}} \int_0^t (f(0, \tau) - \mu'_1(\tau))G_2(0, t, 0, \tau)d\tau =$$

$$= \left(\int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\theta(t) - \theta(\tau)}} d\tau + 2 \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\theta(t) - \theta(\tau)}} \times \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t) - \theta(\tau)}\right) d\tau \right) \sqrt{\frac{\psi(t)}{\pi t}} \equiv \widehat{K}_1 + \widehat{K}_2.$$

Using the notation (12), boundedness of integrand in \widehat{K}_2 , and tendency of $\sqrt{\frac{\psi(t)}{t}}$ to zero when $t \rightarrow +0$, we obtain that \widehat{K}_2 tends to zero as $t \rightarrow +0$. Consider \widehat{K}_1 applying the mean value theorem:

$$\widehat{K}_1 = C_{23} \sqrt{\frac{\psi(t)}{t}} \int_0^t (f(0, \tau) - \mu'_1(\tau)) \times$$

$$\times \left(\int_\tau^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau = C_{23} \sqrt{\frac{\psi(t)}{t}} \frac{(f(0, \tilde{t}) - \mu'_1(\tilde{t}))}{\sqrt{\psi(\tilde{t})}} \times$$

$$\times \int_0^t \frac{d\tau}{\sqrt{t - \tau}} = 2C_{23} \sqrt{\frac{\psi(t)}{\psi(\tilde{t})}} (f(0, \tilde{t}) - \mu'_1(\tilde{t})),$$

where $\tilde{t} \in [0, T]$. Denote $\lim_{t \rightarrow +0} \widehat{K}_1 = \varkappa_0$. Then, returning to K , we get

$$K \leq$$

$$\leq \left| \sqrt{\frac{\psi(t_2)}{t_2}} \int_0^{t_2} (f(0, \tau) - \mu'_1(\tau))G_2(0, t_2, 0, \tau)d\tau - \varkappa_0 \right| +$$

$$+ \left| \sqrt{\frac{\psi(t_1)}{t_1}} \int_0^{t_1} (f(0, \tau) - \mu'_1(\tau))G_2(0, t_1, 0, \tau)d\tau - \varkappa_0 \right|.$$

There exists such value t_* , that for $0 < t_i < t_* \leq T, i = 1, 2$, the following inequalities are verified:

$$\left| \sqrt{\frac{\psi(t_i)}{t_i}} \int_0^{t_i} (f(0, \tau) - \mu'_1(\tau))G_2(0, t_i, 0, \tau)d\tau - \varkappa_0 \right| < \frac{\varepsilon}{2}.$$

Hence, $K < \varepsilon$ when $0 < t_i < t_*, i = 1, 2$.

Consider the expression K in the case when $t_* <$

$t_1 < t_2$:

$$\begin{aligned}
 K &\leq \left| \sqrt{\frac{\psi(t_2)}{t_2}} - \sqrt{\frac{\psi(t_1)}{t_1}} \right| \int_0^{t_2} \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\pi(\theta(t_2) - \theta(\tau))}} \times \\
 &\times \sum_{n=-\infty}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t_2) - \theta(\tau)}\right) d\tau + \sqrt{\frac{\psi(t_1)}{\pi t_1}} \times \\
 &\times \int_0^{t_1} (f(0, \tau) - \mu'_1(\tau)) \sum_{n=-\infty}^{\infty} \left| \frac{\exp\left(-\frac{n^2 h^2}{\theta(t_2) - \theta(\tau)}\right)}{\sqrt{\theta(t_2) - \theta(\tau)}} - \right. \\
 &\left. - \frac{\exp\left(-\frac{n^2 h^2}{\theta(t_1) - \theta(\tau)}\right)}{\sqrt{\theta(t_1) - \theta(\tau)}} \right| d\tau + \int_{t_1}^{t_2} \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{\theta(t_2) - \theta(\tau)}} \times \\
 &\times \sum_{n=-\infty}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t_2) - \theta(\tau)}\right) d\tau \sqrt{\frac{\psi(t_1)}{\pi t_1}} \equiv \\
 &\equiv K_1 + K_2 + K_3. \tag{41}
 \end{aligned}$$

The integrand of K_3 has integrable singularity, thus $K_3 \leq C_{24}\sqrt{t_1 - t_2}$. For K_1 we have

$$\begin{aligned}
 K_1 &\leq C_{25} \left| \sqrt{\frac{\psi(t_2)}{t_2}} - \sqrt{\frac{\psi(t_1)}{t_1}} \right| \left(\int_0^{t_2} \frac{d\tau}{\sqrt{\theta(t_2) - \theta(\tau)}} + \right. \\
 &+ 2 \int_0^{t_2} \frac{1}{\sqrt{\theta(t_2) - \theta(\tau)}} \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{\theta(t_2) - \theta(\tau)}\right) d\tau \Big) \leq \\
 &\leq C_{26} \left| 1 - \sqrt{\frac{\psi(t_1)t_2}{\psi(t_2)t_1}} \right| \left(\sqrt{\frac{\psi(t_2)}{A_0\psi(t^*)}} + \sqrt{\psi(t_2)t_2} \right).
 \end{aligned}$$

For all $\varepsilon > 0$ there exists $\delta > 0$ that $K_1 < \varepsilon$ when $|t_2 - t_1| < \delta$. Detaching from the series in K_2 the summand which corresponds to $n = 0$, we obtain

$$\begin{aligned}
 K_2 &\leq C_{27} \left(\int_0^{t_1} \left| \frac{1}{\sqrt{\theta(t_2) - \theta(\tau)}} - \frac{1}{\sqrt{\theta(t_1) - \theta(\tau)}} \right| d\tau + \right. \\
 &+ 2 \int_0^{t_1} \sum_{n=1}^{\infty} \left| \frac{\exp\left(-\frac{n^2 h^2}{\theta(t_2) - \theta(\tau)}\right)}{\sqrt{\theta(t_2) - \theta(\tau)}} - \right. \\
 &\left. \left. - \frac{\exp\left(-\frac{n^2 h^2}{\theta(t_1) - \theta(\tau)}\right)}{\sqrt{\theta(t_1) - \theta(\tau)}} \right| d\tau \right) \sqrt{\frac{\psi(t_1)}{\pi t_1}} \equiv K_{21} + K_{22}.
 \end{aligned}$$

Put K_{22} into the form

$$\begin{aligned}
 K_{22} &= 2C_{27} \sqrt{\frac{\psi(t_1)}{t_1}} \times \\
 &\times \int_0^{t_1} \sum_{n=1}^{\infty} \left| \int_{\theta(t_1) - \theta(\tau)}^{\theta(t_2) - \theta(\tau)} \frac{d}{dz} \left(\frac{1}{\sqrt{z}} \exp\left(-\frac{n^2 h^2}{z}\right) \right) dz \right| d\tau \leq \\
 &\leq C_{28} \sqrt{\psi(t_1)t_1} \int_{t_1}^{t_2} \psi(\sigma) d\sigma.
 \end{aligned}$$

There exists such $\delta > 0$ that $K_{22} < \varepsilon$ when $|t_2 - t_1| < \delta$. Consider the expression

$$\begin{aligned}
 &\frac{1}{\sqrt{\theta(t_1) - \theta(\tau)}} - \frac{1}{\sqrt{\theta(t_2) - \theta(\tau)}} = \frac{\theta(t_2) - \theta(t_1)}{\sqrt{\theta(t_2) - \theta(\tau)}} \times \\
 &\times \frac{1}{\sqrt{\theta(t_1) - \theta(\tau)}(\sqrt{\theta(t_1) - \theta(\tau)} + \sqrt{\theta(t_2) - \theta(\tau)})} = \\
 &= \frac{\theta(t_2) - \theta(t_1)}{\theta(t_1) \sqrt{\theta(t_2) \left(1 - \frac{\theta(\tau)}{\theta(t_1)}\right) \left(1 - \frac{\theta(\tau)}{\theta(t_2)}\right)}} \times \\
 &\times \frac{1}{\left(\sqrt{1 - \frac{\theta(\tau)}{\theta(t_1)}} + \sqrt{\frac{\theta(t_2)}{\theta(t_1)} \left(1 - \frac{\theta(\tau)}{\theta(t_2)}\right)}\right)}.
 \end{aligned}$$

Taking into account that the function $\frac{1}{t}\theta(t)$ is increasing and $\frac{\theta(\tau)t_i}{\theta(t_i)} \leq \tau, \tau \leq t_i, i = 1, 2$, we can write for K_{21}

$$\begin{aligned}
 K_{21} &\leq C_{27} \sqrt{\frac{\psi(t_1)}{t_1}} \frac{(\theta(t_2) - \theta(t_1))\sqrt{t_1 t_2}}{\theta(t_1)\sqrt{\theta(t_2)}} \times \\
 &\times \int_0^{t_1} \frac{d\tau}{\sqrt{(t_1 - \tau)(t_2 - \tau)} \left(\sqrt{\frac{t_1 - \tau}{t_1}} + \sqrt{\frac{t_2 - \tau}{t_2}}\right)} \leq \\
 &\leq \frac{C_{27} \sqrt{\psi(t_1)t_2}}{\theta(t_1)\sqrt{\theta(t_2)}} \int_0^{t_1} \left(\frac{1}{\sqrt{t_1 - \tau}} - \frac{1}{\sqrt{t_2 - \tau}}\right) d\tau = \\
 &= \frac{C_{29} t_2 \sqrt{\psi(t_1)}}{\theta(t_1)\sqrt{\theta(t_2)}} (\sqrt{t_1} - \sqrt{t_2} + \sqrt{t_2 - t_1}).
 \end{aligned}$$

From this, it is easy to see that $\lim_{t_1 \rightarrow t_2} K_{21} = 0$.

The proof of compactness of the others summands of the integral operator P is realized by the analogous way. Thus, the operator P is compact on the set \mathcal{N} . According to Schauder fixed-point theorem there exists a solution of the problem (1) - (4) with appropriate smoothness. Hence, the existence of solution for the problem (1) - (4) in the case of strong degeneration is proved.

Let prove the uniqueness of solution for the problem (1) - (4). Supposing the existence of two solutions for the problem (1) - (4), we get the problem (19) - (22) for its differences. Write the equation (22) under the form

$$a(t) = -a_1(t)a_2(t) \frac{u_x(0, t)\psi(t)}{\mu_3(t)}, \quad t \in [0, T]. \tag{42}$$

We will realize the proof of uniqueness by evaluating $a(t)$ from the equation (42). Consider for example one of

the summands of $u_x(0, t) \equiv u_{2x}(0, t) - u_{1x}(0, t)$. Denote

$$I \equiv \frac{1}{\sqrt{\pi}} \int_0^t (f(0, \tau) - \mu'_1(\tau)) \left(\frac{1}{\sqrt{\theta_2(t) - \theta_2(\tau)}} - \frac{1}{\sqrt{\theta_1(t) - \theta_1(\tau)}} \right) d\tau + \frac{2}{\sqrt{\pi}} \int_0^t (f(0, \tau) - \mu'_1(\tau)) \times \sum_{n=1}^{\infty} \left(\frac{1}{\sqrt{\theta_2(t) - \theta_2(\tau)}} \exp\left(-\frac{n^2 h^2}{\theta_2(t) - \theta_2(\tau)}\right) - \frac{1}{\sqrt{\theta_1(t) - \theta_1(\tau)}} \exp\left(-\frac{n^2 h^2}{\theta_1(t) - \theta_1(\tau)}\right) \right) d\tau \equiv I_1 + I_2.$$

Applying the estimates (38), we have

$$\begin{aligned} & |\theta_1(t) - \theta_1(\tau) - \theta_2(t) + \theta_2(\tau)| \leq \\ & \left| \int_{\tau}^t (a_1(\sigma) - a_2(\sigma)) \psi(\sigma) d\sigma \right| \leq \tilde{a}_{\max}(t) \int_{\tau}^t \psi(\sigma) d\sigma, \\ & \theta_i(t) - \theta_i(\tau) = \int_{\tau}^t a_i(\sigma) \psi(\sigma) d\sigma \geq \\ & \geq \frac{H_{\min}^2(t)}{\left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^2} \int_{\tau}^t \psi(\sigma) d\sigma, \quad i = 1, 2, \end{aligned} \quad (43)$$

where $\tilde{a}_{\max}(t) \equiv \max_{0 \leq \tau \leq t} |a_1(\tau) - a_2(\tau)|$. Then write for I_2

$$|I_2| \leq \frac{2}{\sqrt{\pi}} \int_0^t (f(0, \tau) - \mu'_1(\tau)) \times \left| \int_{\theta_1(t) - \theta_1(\tau)}^{\theta_2(t) - \theta_2(\tau)} \frac{d}{dz} \left(\frac{1}{\sqrt{z}} \sum_{n=1}^{\infty} \exp\left(-\frac{n^2 h^2}{z}\right) \right) dz \right| d\tau.$$

Taking into account the boundedness of integrand and inequality (43), we obtain the estimate

$$|I_2| \leq C_{30} \int_0^t |\theta_1(t) - \theta_1(\tau) - \theta_2(t) + \theta_2(\tau)| d\tau \leq F(t) \tilde{a}_{\max}(t),$$

where $F(t) = \int_0^t d\tau \int_{\tau}^t \psi(\sigma) d\sigma$. Put I_1 under the form

$$I_1 = \frac{1}{\sqrt{\pi}} \int_0^t \frac{f(0, \tau) - \mu'_1(\tau)}{\sqrt{(\theta_2(t) - \theta_2(\tau))(\theta_1(t) - \theta_1(\tau))}} \times \frac{(\theta_1(t) - \theta_1(\tau) - \theta_2(t) + \theta_2(\tau)) d\tau}{\sqrt{\theta_2(t) - \theta_2(\tau)} + \sqrt{\theta_1(t) - \theta_1(\tau)}}.$$

Using (43) and definition of the function $H(t)$, we

get for I_1

$$\begin{aligned} |I_1| & \leq \frac{\left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^3}{2\sqrt{\pi} H_{\min}^3(t)} \tilde{a}_{\max}(t) \times \\ & \times \int_0^t (f(0, \tau) - \mu'_1(\tau)) \left(\int_{\tau}^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau \leq \\ & \leq \frac{\left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^3 \mu_3(t)}{2H_{\min}^4(t) \psi(t)} \tilde{a}_{\max}(t). \end{aligned}$$

Others summands in the expression $u_x(0, t)$ are evaluated as I . Then we have from (42)

$$\tilde{a}_{\max}(t) \leq \frac{H_{\max}^4(t) \left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^3}{2H_{\min}^4(t)} \tilde{a}_{\max}(t) + F^*(t) \tilde{a}_{\max}(t), \quad (44)$$

where the function $F^*(t) > 0$ vanishes at $t = 0$. From the existence of limit $\lim_{t \rightarrow +0} H(t) > 0$ it follows

$$\lim_{t \rightarrow +0} \frac{H_{\max}^4(t) \left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^3}{2H_{\min}^4(t)} = \frac{1}{2}.$$

Hence, there exists such value $t_1 : 0 < t_1 \leq T$, for which the inequality holds

$$\frac{H_{\max}^4(t) \left(C_{22} \sqrt{\frac{\psi(t)}{t}} + 1\right)^3}{2H_{\min}^4(t)} \leq \frac{3}{4}, \quad t \in [0, t_1]. \quad (45)$$

Then we rewrite the inequality (44) under the form

$$\frac{1}{4} \tilde{a}_{\max}(t) - F^*(t) \tilde{a}_{\max}(t) \leq 0 \quad \text{or} \\ \tilde{a}_{\max}(t) \left(\frac{1}{4} - F^*(t)\right) \leq 0.$$

It may be indicated such value $t_2 : 0 < t_2 \leq T$, for which $\frac{1}{4} - F^*(t) > 0$ as $t \in [0, t_2]$. Then $\tilde{a}_{\max}(t) \leq 0$ on the segment $[0, t_2]$, what is impossible. Consequently, $a_1(t) \equiv a_2(t)$ on the segment $[0, t^*]$, where $t^* = \min(t_1, t_2)$. In the case $t > t^*$ the theorem is proved analogously as in the case of weak degeneration. Thus, the following theorem is proved.

Theorem 3. *Suppose that*

$\lim_{t \rightarrow +0} \int_0^t \left(\int_{\tau}^t \psi(\sigma) d\sigma \right)^{-1/2} d\tau = \infty$. Let the conditions **(A1)** - **(A3)**, **(A6)** are satisfied. Then there exists the unique solution of the problem (1) - (4) defined for $x \in [0, h], t \in [0, T]$.

Remark. The conditions **(A2)** may be weakened. In the case of weak degeneration instead of condition $f(0, t) - \mu(t) > 0$ one can suppose $f(0, t) - \mu(t) \geq 0$. Analogously, in the case of strong degeneration it may be supposed the condition $\varphi'(x) \geq 0$.

As it may be seen from above, the weak degeneration is provided by the behavior only of the function $\mu_3(t)$ which tends to zero when $t \rightarrow +0$ by the same law as the

function $a(t)$. In the case of the strong degeneration this dependence between given data is more complicated.

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ОБЕРНЕНА ЗАДАЧА ДЛЯ РІВНЯННЯ ТЕПЛОПРОВІДНОСТІ З ВИРОДЖЕННЯМ ЗАГАЛЬНОГО ТИПУ

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Розглянуто обернену задачу визначення невідомого коефіцієнта для рівняння теплопровідності. Коефіцієнт за старшої похідної представлений у вигляді добутку двох функцій, залежних від часу, одна з яких перетворюється в нуль в початковий момент часу. Розглянуто випадки сильного та слабого виродження. З'ясовано умови існування та єдиності розв'язку задачі.

Keywords: обернена задача, рівняння теплопровідності, сильне та слабе виродження, теорема Шаудера.

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